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FULBRIGHT & JAWORSKI, L.L.P.  
PRIYA D. SUBRAMONY  
600 CONGRESS AVENUE, SUITE 2400  
AUSTIN, TX 78701

Chief Information Officer  
Washington, DC 20231  
[www.uspto.gov](http://www.uspto.gov)



\*102138541A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
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RECORDATION DATE: 06/24/2002

REEL/FRAME: 013024/0252  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNEE'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNEE:

SPORN, MICHAEL B.  
TRUSTEES OF DARMOUTH COLLEGE, THE  
11 ROPE FERRY ROAD #6210  
HANOVER, NEW HAMPSHIRE 03755-1404

DOC. DATE: 03/25/2002

ASSIGNOR:

SERIAL NUMBER: 09998009

FILING DATE: 11/28/2001  
ISSUE DATE:

PATENT NUMBER:

VIOLET MCCOY, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

RECEIVED	Assignment
Date(s) Docketed:	<u>Recorded</u>
<hr/>	
JLE	SEP 06 2002
Client: <u>UTSC:U52US</u>	
Attorney(s): <u>DLP/SLH/PDS</u>	
Initials: <u>SS2</u>	



06-28-2002



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JHEET

U.S. Department of Commerce

FORM PTO-1595  
(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

## PATENTS ONLY

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.

1. Name of conveying party(ies):  Michael B. Sporn		2. Name and address of receiving party(ies):  Name: The Trustees of Dartmouth College Street Address: 11 Rope Ferry Road #6210 City: Hanover State: NH Zip: 03755-1404
Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance:  <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other		
Execution Date: 3/25/02		
4. Application number(s) or registration number(s):  If this document is being filed together with a new application, the execution date of the application is:		
A. Patent Application No.(s):  09/998,009		B. Patent No.(s):
Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Priya D. Subramony FULBRIGHT & JAWORSKI, L.L.P. 600 Congress Avenue, Suite 2400  City: Austin State: Texas Zip: 78701		6. Total number of applications and patents involved: 1
		7. Total fee (37 C.F.R. 3.41) \$ 40.00  <input checked="" type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account if check insufficient or inadvertently omitted
8. Deposit account number: 50-1212/10109288/SLH (Attach duplicate copy of this page if paying by deposit account)		
DO NOT USE THIS SPACE		
9. Statement and signature.  <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i>		
Priya D. Subramony		<i>Priya d Subramony</i> 06/18/2002
Name of Person Signing, Reg. No. 50,939		Signature Date
Total number of pages including cover sheet, attachments and documents: 3		

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents and Trademarks, Box Assignment, Washington, D.C. 20231

### CERTIFICATE OF MAILING 37 C.F.R 1.8

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June 18, 2002  
Date

*Priya d. Subramony*  
Priya D. Subramony

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AUGUST 27, 2002

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PRIYA D. SUBRAMONY  
600 CONGRESS AVENUE, SUITE 2400  
AUSTIN, TX 78701

Under Secretary of Commerce For Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
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ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY,  
SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/24/2002

REEL/FRAME: 013024/0604  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
KONOPLEVA, MARINA

DOC DATE: 02/18/2002

ASSIGNOR:  
ANDREEFF, MICHAEL

DOC DATE: 02/18/2002

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ASSIGNEE:  
BOARD OF REGENTS, THE UNIVERSITY  
OF TEXAS SYSTEM  
201 WEST 7TH STREET  
AUSTIN, TEXAS 78701

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SERIAL NUMBER: 09998009  
PATENT NUMBER:

FILING DATE: 11/28/2001  
ISSUE DATE:

LAZENA MARTIN, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

RECEIVED
Date(s) Docketed: <u>Assignment</u>
<u>recd</u>
SEP 06 2002
Client: <u>UTSC: 6524US</u>
Attorney(s): <u>DLP/SLH/PDS</u>
Initials: <u>SS</u>

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06-28-2002



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FORM PTO-1595  
(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

U.S. Department of Commerce

Patent and Trademark Office

## PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.

1. Name of conveying party(ies):

Marina Konopleva and Michael Andreeff

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

 Assignment Security Agreement Other Merger Change of Name

Execution Date: 2/18/02, 2/18/02

2. Name and address of receiving party(ies):

Name: Board of Regents, The University of Texas System

Street Address: 201 West 7<sup>th</sup> Street

City: Austin State: Texas Zip: 78701

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or registration number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s):

09/998,009

B. Patent No.(s):

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Priya D. Subramony  
FULBRIGHT & JAWORSKI, L.L.P.  
600 Congress Avenue, Suite 2400

City: Austin State: Texas Zip: 78701

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. 3.41) \$ 40.00

 Enclosed Authorized to be charged to deposit account  
if check insufficient or inadvertently omitted8. Deposit account number: 50-1212/10109288/SIH  
(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Priya D. Subramony

06/18/2002

Name of Person Signing, Reg. No. 50,939

Signature

Date

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Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents and Trademarks, Box Assignment, Washington, D.C. 20231CERTIFICATE OF MAILING  
37 C.F.R. 1.8

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June 18, 2002

Date

Priya D. Subramony

06/27/2002 TDIAZ1 00000065 09998009

11 FC:581

40.00 DP

25114988.1

PATENT  
FILE NO. UTSC:652US

A S S I G N M E N T

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to BOARD OF REGENTS, THE UNIVERSITY OF TEXAS SYSTEM (the "Assignee"), having a place of business at 201 West 7th Street, Austin, Texas 78701, their entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. 09/998,009 which has been filed on November 28, 2001 and is entitled "**CDDO-COMPOUNDS AND COMBINATION THERAPIES THEREOF**", such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

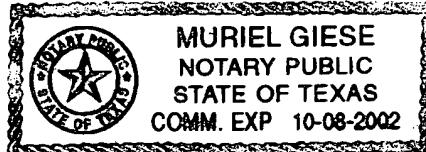
Signature: luy  
Name: Marina Konopleva

Date: 2/18/02

STATE OF TEXAS )  
COUNTY OF HARRIS ) ss.

BEFORE ME, the undersigned authority, on this 18 day of FEBRUARY, 2002 personally appeared Marina Konopleva, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that she executed the same of her own free will for the purposes and consideration therein expressed.

[SEAL]



Muriel Giese  
Notary or Consular Officer

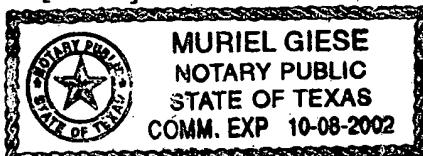
Signature: Michael Andreeff  
Name: Michael Andreeff

Date: 2/18/2002

STATE OF TEXAS )  
COUNTY OF HARRIS ) ss.

BEFORE ME, the undersigned authority, on this 18 day of FEBRUARY, 2002 personally appeared Michael Andreeff, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

[SEAL]



Muriel Giese  
Notary or Consular Officer

FULBRIGHT & JAWORSKI L.L.P.  
600 Congress Avenue, Suite 2400  
Austin, Texas 78701

PATENT  
FILE NO. UTSC:652US

A S S I G N M E N T

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, does hereby:

SELL, ASSIGN AND TRANSFER to The Trustees of Dartmouth College (the "Assignee"), having a place of business at 11 Rope Ferry Road #6210, Hanover, NH 03755-1404, their entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. **09/998,009** which has been filed on **November 28, 2001** and is entitled "**CDDO-COMPOUNDS AND COMBINATION THERAPIES THEREOF**", such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: *Michael B. Sporn* Date: *3/25/02*  
Name: Michael B. Sporn

STATE OF *New Hampshire*)  
COUNTY OF *Grafton*)

BEFORE ME, the undersigned authority, on this 25 day of March, 2002 personally appeared Michael B. Sporn, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Pam Beaudoin  
Notary or Consular Officer

[SEAL]

**PATTI BEAULIEU, Commissioner of Deeds**  
My Commission Expires August 9, 2005

FULBRIGHT & JAWORSKI L.L.P.  
600 Congress Avenue, Suite 2400  
Austin, Texas 78701